

**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ronald A. BERZON

Serial No.: 10/529,054

Filed: April 13, 2006

For: POLARIZED ARTICLES AND  
METHODS FOR OBTAINING  
POLARIZED ARTICLES

Group Art Unit: 1722

Examiner: Unknown

Atty. Dkt. No.: ESSR:092US

Confirmation No.: 1771

CERTIFICATE OF ELECTRONIC TRANSMISSION  
37 C.F.R. § 1.8

I hereby certify that this correspondence is being  
electronically filed with the United States Patent and  
Trademark Office via EFS-Web on the date below:

February 19, 2007

Date

Mark B. Wilson

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

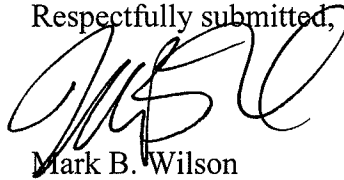
A corrected filing receipt is requested in view of the error that appears in the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the error has been noted.

There is an error in the name of the Assignee. Please replace "Essilor International Compagnie Generale 'Optique" with **–Essilor International Compagnie Generale d'Optique–**.

In support of this requested correction, Applicants attach a copy of the Notice of Recordation of Assignment Document mailed June 16, 2006.

No fee is believed to be due in connection with the filing of this document. However, should any fee under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this document, the Commissioner is authorized to deduct the appropriate fee from Fulbright & Jaworski Deposit Account No.: 50-1212/ESSR:092US.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'MBW', is written over the typed name 'Mark B. Wilson'.

Mark B. Wilson  
Reg. No. 37,259  
Attorney for Applicant

FULBRIGHT & JAWORSKI L.L.P.  
600 Congress Avenue, Suite 2400  
Austin, Texas 78701  
(512) 474-5201

Date: February 19, 2007



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/529,054	04/13/2006	1722	1030	ESSR:092US		19	2

32425  
 FULBRIGHT & JAWORSKI L.L.P.  
 600 CONGRESS AVE.  
 SUITE 2400  
 AUSTIN, TX 78701

CONFIRMATION NO. 1771

## FILING RECEIPT



\*OC000000019855802\*

Date Mailed: 08/03/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Ronald A. Berzon, St. Petersburg, FL;

## Assignment For Published Patent Application

~~Essilor International Compagnie Generale d'Optique~~, Charenton cedex, FRANCE

**Essilor International Compagnie Generale d'Optique**

Power of Attorney: The patent practitioners associated with Customer Number 32425.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP05/01053 01/28/2005  
 which claims benefit of 60/540,423 01/30/2004

## Foreign Applications

If Required, Foreign Filing License Granted: 08/01/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/529,054**

Projected Publication Date: 11/09/2006

Non-Publication Request: No

Early Publication Request: No

**Title**

Polarized articles and methods for obtaining polarized articles

**Preliminary Class**

425

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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#### **NOT GRANTED**

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FULBRIGHT & JAWORSKI, LLP  
IPT DOCKETING  
Docketed ☐ Not Req'd ☐ Confirmation ☐  
Initials 1st *MBW* Initials 2nd *ESR*

JUN 26 2006

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney *MBW*  
Docket No. *E55K:092U*  
Action Req'd Date Due

JUNE 16, 2006

PTAS



MARK B. WILSON  
FULBRIGHT & JAWORSKI L.L.P.  
600 CONGRESS AVENUE, SUITE 2400  
AUSTIN, TX 78701

*Noted*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 04/19/2006

REEL/FRAME: 017795/0658  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: ESSR:092US

ASSIGNOR:  
BERZON, RONALD A.

DOC DATE: 06/06/2005

ASSIGNEE:  
ESSILOR INTERNATIONAL COMPAGNIE  
GENERALE D'OPTIQUE  
147 RUE DE PARIS  
CHARENTON CEDEX, 94227, FRANCE

SERIAL NUMBER: 10529054  
PATENT NUMBER:

FILING DATE:  
ISSUE DATE:

TITLE: POLARIZED ARTICLES AND METHODS FOR OBTAINING POLARIZED ARTICLES

017795/0658 PAGE 2

JEFFREY OLSEN, EXAMINER  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

04-21-2006

FORM PTO-1595  
(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

U.S. Department of Commerce

Patent and Trademark Office

103223499

Remarks: Please record the attached original document or copy thereof.

To the Honorable Commissioner

1. Name of conveying party(ies):

Ronald A. Berzon

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other

Execution Date: 6/6/05

2. Name and address of receiving party(ies):

Name: Essilor International Compagnie  
Generale d'OptiqueStreet Address: 147 rue de Paris  
Charenton cedex, 94227, FranceAdditional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

10/529,054

B. Patent No.(s):

Additional numbers attached ☐ Yes ☒ No5. Name and address of party to whom correspondence concerning  
document should be mailed:

Name: Mark B. Wilson

Fulbright &amp; Jaworski L.L.P.

600 Congress Avenue, Suite 2400

City: Austin State: Texas Zip: 78701

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. 3.41)----- \$ 40.00



Enclosed

Authorized to be charged to deposit account  
if check insufficient or inadvertently omitted

8. Deposit account number: 50-1212/ESSR:092US

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Mark B. Wilson

04/13/2006

Name of Person Signing, Reg. No. 37,259

Signature

Date

Total number of pages including cover sheet, attachments and documents: 3

CERTIFICATE OF MAILING  
37 C.F.R. 1.8I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope  
addressed to: MS Assignment Recordation Services, Director of the U.S. Patent and Trademark Office, Box 1450, Alexandria, VA 22313-1450, on the date below:

April 13, 2006

Date

Mark B. Wilson



ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to Essilor International Compagnie Generale d'Optique (the "Assignee"), having a place of business at 147 rue de Paris, Charenton cedex, 94227, France, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. **10/529,054** which has been filed on **March 24, 2005** and is entitled **"POLARIZED ARTICLES AND METHODS FOR OBTAINING POLARIZED ARTICLES,"** which is a 371 Nationalization of PCT/EP2005/001053 filed January 28, 2005 which claims priority to U.S. Provisional Application No. 60/540,423 filed January 30, 2004 such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: Ronald A. Berzon  
Name: Ronald A. Berzon

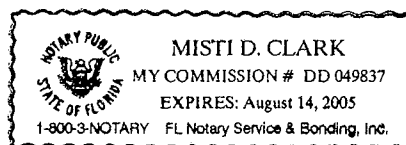
Date: 06/06/05

STATE OF Florida  
COUNTY OF Pineellas

BEFORE ME, the undersigned authority, on this 6 day of June, 2005 personally appeared Ronald A. Berzon, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

Misti D. Clark  
Notary or Consular Officer

[SEAL]



FULBRIGHT & JAWORSKI L.L.P.  
600 Congress Avenue, Suite 2400  
Austin, Texas 78701